

Formal Deformations, Contractions and Moduli Spaces of Lie Algebras

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Abstract Jump deformations and contractions of Lie algebras are inverse concepts, but the approaches to their computations are quite different. In this paper, we contrast the two approaches, showing how to compute the jump deformations from the miniversal deformation of a Lie algebra, and thus arrive at the contractions. We also compute contractions directly. We use the moduli spaces of real 3-dimensional and complex 3 and 4-dimensional Lie algebras as models for explaining a deformation theory approach to computation of contractions.

Keywords Deformations · Contractions · Lie algebras · Moduli space

1 Introduction

Deformations of analytic and algebraic objects is an old problem in both mathematics and physics. In this paper we restrict ourselves to the case of Lie algebras—one of the most important categories in physics. On deformation theory of Lie algebras we refer to [2, 4]. The set of equivalence classes of Lie algebras over a fixed vector space is called the moduli space of Lie algebras on that vector space. In [9, 15], the moduli space of Lie algebras of dimension 3 was carefully analyzed, and in [10], a construction of the moduli space of 4-dimensional Lie algebras was given. The main idea which we used in our analysis was the computation of the miniversal deformation, which allows one to determine all possible deformations of the Lie algebra (see [3, 5]).

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From the miniversal deformation, one can determine all jump deformations of a Lie algebra. A jump deformation is precisely the inverse of a contraction of a Lie algebra, so one can say that miniversal deformations contain all the information about contractions as well as other interesting information about the moduli space.

The point of view of deformation theory is a bit different from the point of view of contractions. When computing a contraction, one has a particular Lie algebra in mind, and wants to know all Lie algebras which can jump to the one you have in mind. This is quite different from the perspective of deformation theory, where one is interested in seeing what the object of question deforms to. Both perspectives give valuable insights. We should refer to the recent work [6, 7] which compare these two concepts and also give some examples. At the Workshop “Deformations and Contractions in Mathematics and Physics” in Oberwolfach in January 2006, organized by Alice Fialowski, Marc de Montigny, Sergei Novikov and Martin Schlichenmaier, researchers from both mathematics and physics were brought together to share the ideas coming from these two approaches. It was a valuable experience to the authors.

In this paper, we look at some of the examples we have previously studied from the deformation point of view, and consider both the deformation and contraction points of view. We point out some of the advantages and disadvantages of these two approaches, illustrating them with examples from moduli spaces of 3 and 4-dimensional Lie algebras. We also give a description of the moduli space of real 3-dimensional Lie algebras. In [9, 10, 15], only complex Lie algebras were studied. We also use a miniversal deformation approach to give a complete description of the contractions of all 4-dimensional complex Lie algebras.

Some contractions can be computed by use of diagonal matrices, and in fact, if one is clever about a choice of a basis, one can always compute contractions in this manner [18]. However, it is not true that one can compute all contractions given a fixed basis by using diagonal matrices, and we give some counterexamples. For a direct approach of low dimensional Lie algebra contractions see [13].

2 Preliminaries

Let V be a \mathbb{Z}_2 -graded vector space defined over \mathbb{C} , and denote the even and odd parts of V by V_e and V_o , respectively. The parity reversion $W = \Pi V$ is given by $W_e = V_o$ and $W_o = V_e$; in other words, we reverse the parity of homogeneous elements of V to obtain W . Let $\pi : V \rightarrow W$ be the identity; note that it is an odd map. Lie algebras are defined as antisymmetric maps $V \otimes V \rightarrow V$; that is, as elements of $\text{Hom}(\bigwedge^2(V), \rightarrow V)$. These antisymmetric maps induce symmetric maps $W \otimes W \rightarrow W$, so a Lie algebra determines an element in $\text{Hom}(S^2(W), W)$, where $S^k(W)$ is the k -th symmetric power of W .

If we let $E(V) = \bigoplus_{k=0}^{\infty} \bigwedge^k(V)$ be the \mathbb{Z}_2 -graded exterior algebra of V , then $E(V)$ can be identified as a vector space, with $S(W)$, where $S(W) = \bigoplus_{k=0}^{\infty} S^k(W)$ is the \mathbb{Z}_2 -graded symmetric algebra of W . The algebra structures of these spaces do not coincide, unless V is a totally even space. For ordinary Lie algebras, since $E(V)$ and $S(W)$ are isomorphic as algebras, it is perfectly reasonable to work in the $E(V)$ picture, which is the point of view in the classical literature, but for Lie superalgebras, there is a big advantage in working with the $S(W)$ picture, so we will adopt this point of view in this paper.

Any element of $\text{Hom}(E(V), V)$ can be identified with an element of $C(W) = \text{Hom}(S(W), W)$, and therefore, a Lie algebra structure on V determines an element d in $\text{Hom}(S^2(W), W)$. The structure d satisfies the following relation, corresponding to the Jacobi identity.

$$d(d(a, b), c) + (-1)^{bc} d(d(a, c), b) + (-1)^{a(b+c)} d(d(b, c), a) = 0,$$

where $(-1)^{ab}$ is minus one to the power of the product of the parities of a and b . Let $C^k(W) = \text{Hom}(S^k(W), W)$. Then $C(W) = \prod_{k=0}^\infty C^k(W)$. We define a product \circ on $C(W)$ as follows. If $\varphi \in C^k(W)$ and $\psi \in C^l(W)$, then $\varphi \circ \psi \in C^{k+l-1}(W)$ is given by

$$\varphi \circ \psi(w_1 \cdots w_n) = \sum_{\sigma \in \text{Sh}(l, k-1)} \epsilon(\sigma) \varphi(\psi(w_{\sigma(1)} \cdots w_{\sigma(l)}) w_{\sigma(l+1)} \cdots w_{\sigma(n)}),$$

where $n = k + l - 1$ and $\epsilon(\sigma)$ is a sign determined by the rule

$$w_{\sigma(1)} \cdots w_{\sigma(n)} = \epsilon(\sigma) w_1 \cdots w_n.$$

The bracket $[\varphi, \psi]$ is defined by

$$[\varphi, \psi] = \varphi \circ \psi - (-1)^{\varphi\psi} \psi \circ \varphi.$$

This bracket equips the space of cochains $C(W)$ with the structure of a \mathbb{Z}_2 -graded Lie algebra. In fact, it is well known that $C(W)$ is naturally isomorphic to the space of coderivations of the symmetric coalgebra $S(W)$ of W , which is a \mathbb{Z}_2 -graded Lie algebra, and the bracket introduced above is just the bracket of coderivations [16]. In fact, any element $\varphi \in C^k(W)$ extends to a coderivation $\varphi : S(W) \rightarrow S(W)$, which by the formula

$$\varphi(w_1 \cdots w_n) = \sum_{\sigma \in \text{Sh}(k, n-k)} \epsilon(\sigma) \varphi(w_{\sigma(1)} \cdots w_{\sigma(k)}) w_{\sigma(k+1)} \cdots w_n.$$

Accordingly, the cochains in $C(W)$ will sometimes be referred to as coderivations.

The Jacobi identity for d is precisely the condition that $[d, d] = 0$. Moreover, d is odd. We call such an odd element of $C(W)$ a codifferential. In fact, the map $D : C(W) \rightarrow C(W)$, given by $D(\varphi) = [d, \varphi]$ is a differential on $C(W)$, and is a derivation with respect to the bracket on $S(W)$. That is, $D([\varphi, \psi]) = [D(\varphi), \psi] + (-1)^\varphi [\varphi, D(\psi)]$. Note that D is odd. We call D the coboundary operator induced by d , and the homology $H(D)$ of this differential, defined by

$$H(d) = \ker(d) / \text{Im}(d)$$

is called the cohomology of d . Since $D : C^k(W) \rightarrow C^{k+1}(W)$, we can also define the n -th cohomology group $H^n(d)$ by

$$H^n(d) = \ker(D : C^n(W) \rightarrow C^{n+1}(W)) / \text{Im}(D : C^{n-1}(W) \rightarrow C^n(W)).$$

Only the odd part of $H^2(d)$ and the even part of $H^3(d)$ play a role in the theory of deformations of d . For ordinary Lie algebras, $H^2(d)$ is a completely odd space and $H^3(d)$ is completely even, because the parity of a cochain in $C^k(W)$ in this case depends only on k .

An L_∞ algebra is defined as a codifferential d in $C(W)$, the only difference being that we do not restrict d to lie in $C^2(W)$. In fact, we can express $d = d_1 + \cdots$, where $d_i \in C^i(W)$. (Here, we do not allow a d_0 term.) However, in the case of L_∞ algebras, the cohomology $H(d)$ cannot be decomposed into subgroups $H^n(d)$, and all of the cohomology plays a role in the deformation theory. For this paper, we will restrict to Lie algebras, for simplicity, but the main constructions extend to Lie superalgebras and L_∞ algebras as well.

The importance of cohomology to deformations is illustrated by the notion of an infinitesimal deformation d_t of d , which is given by

$$d_t = d + t\delta,$$

where $t^2 = 0$. The Jacobi identity $[d_t, d_t] = 0$ reduces to the cocycle condition $D(\delta) = 0$. To understand why cohomology arises in the classification, we need to introduce the notion of infinitesimal equivalence.

If g is an automorphism of W ; i.e., an invertible linear map, then g extends uniquely to an automorphism of $S(W)$, that is, an invertible map, compatible with the coalgebra structure of $S(W)$. Moreover, g acts on $C(W)$ by the rule $g^*(\varphi) = g^{-1}\varphi g$. We define two codifferentials d' and d to be equivalent, and write $d \sim d'$, if there is an automorphism g such that $d' = g^*(d)$. An infinitesimal automorphism of W is a map $g_t = 1 + t\lambda$ where $\lambda : W \rightarrow W$ is linear. If we extend λ as a coderivation of $S(W)$, then we have $g_t = \exp(t\lambda)$. We can thus identify λ with a cochain in $C(W)$. Evidently, $g_t^{-1} = 1 - t\lambda$. We have $g_t^*(\varphi) = \varphi + t[\varphi, \lambda]$ for any $\varphi \in C(W)$.

Now suppose that $d_t = d + t\delta$ and $d'_t = d + t\delta'$. Then $d_t \sim d'_t$ precisely when there is some cochain λ such that $\delta' = \delta + D(\lambda)$, in other words, when δ' and δ belong to the same cohomology class. This is why we say that the infinitesimal deformations are classified by the cohomology.

A formal deformation d_t is given by a formal power series of the form

$$d_t = d + t\delta_1 + t^2\delta_2 + \dots$$

The Jacobi identity for d_t is equivalent to the relations

$$D(\delta_n) = -\frac{1}{2} \sum_{k+l=n} [\delta_k, \delta_l] = 0.$$

for $n = 1, \dots$. If the relations above hold for all $n < m$, then the right hand side of the equation is a cocycle, but the fact that it is a coboundary is nontrivial. One says that d_t is an m -th order deformation if the relations hold for $n \leq m$, and that the m -th order deformation extends to an $(m + 1)$ -th order deformation if there is some δ_{m+1} satisfying the relation above for $n = m + 1$. A formal deformation is an m -th order deformation for all m . From these remarks, we see that there is a relationship between cohomology and formal deformations, but it is less straightforward than in the infinitesimal case.

One can also consider deformations, both infinitesimal and formal, in which more than one parameter t appears. Suppose that $H^2 = \langle \delta^1, \dots, \delta^n \rangle$. Here, we identify H^2 with a subspace of $C^2(W)$, and the cochains δ^i are called representative cocycles for a basis of the cohomology. Consider the infinitesimal deformation d^{inf} , given by

$$d^{\text{inf}} = d + t_i \delta^i.$$

This particular infinitesimal deformation is called the *universal infinitesimal deformation*. It has the nice property that it uniquely generates all infinitesimal deformations in the following sense. If d_t is any infinitesimal deformation given by the parameter t , then d_t is equivalent to a deformation of the form $d + t\delta$ where $\delta = a_i \delta^i$. Then there is an obvious map from $k[t_1, \dots, t_n] \rightarrow k[t]$, such that $t_i \mapsto a_i t$. With this assignment, the universal infinitesimal deformation determines d_t uniquely.

One can apply a similar construction for formal deformations to arrive at what is called a *miniversal deformation* of d . Let $\{\delta^i\}$ be a (pre)basis of H^2 as in the infinitesimal case, and $\{\gamma^i\}$ be a prebasis of the 3-coboundaries. Then there is a deformation of the form

$$d^\infty = d + t_i \delta^i + s_i \gamma^i,$$

where s_i is a formal power series in the variables t_i , with all terms in s_i of order at least 2, such that

$$[d^\infty, d^\infty] = r_i \alpha^i,$$

where $\{\alpha^i\}$ is a basis of H^3 and r_i are formal power series in the t_i starting with terms of degree at least 2. The series r_i are called the *relations on the base* of the miniversal deformation. If these series converge in some neighborhood of $0 \in C^n$ and $(t_1, \dots, t_n) \in C^n$ satisfies all the relations r_i , then the deformation d_t is a well defined codifferential.

In the examples we present, it turns out that the relations on the base are actually given by rational functions of the parameters, which are defined at $t_i = 0$, so that there is such a radius of convergence. Thus, we are able to obtain a notion of neighborhood of a codifferential d , consisting of those deformations arising from substituting small values of the parameters into the miniversal deformation.

This notion of neighborhood does not give rise to a Hausdorff topology on the space of equivalence classes of codifferentials on W , which is called the moduli space of Lie algebras on W . It is possible for there to be a formal deformation d_t , which is well defined as a codifferential for small values of t , for which $d_0 = d$, but for which $d_t \sim d'$ for all values of t except $t = 0$, where d' is not equivalent to d . This kind of a deformation family is called a *jump deformation*. If a codifferential has a jump deformation to another codifferential, then it is not a closed point in the moduli space. The existence of such points is why the moduli space is not Hausdorff. This suggests that if we could somehow exclude the jump deformations, we could introduce a more reasonable topological decomposition of the moduli space of Lie algebras.

A deformation family d_t , where d_t runs along a family of nonequivalent codifferentials, is called a *smooth deformation family*. In this article, we shall describe a stratification of the orbifold by smooth orbifolds, where the smooth neighborhoods of a codifferential are given by smooth deformations. Jump deformations provide a type of gluing operation between the strata. All of the non-Hausdorff behavior of the moduli space is thus represented by the jump deformations.

If d_t is a jump deformation from d to d' , so that $d_0 = d$ and $d_t \sim d'$ for $t \neq 0$, and d'_t is a jump deformation from d' to d'' , there is no way to compose these jump deformations directly to obtain a jump deformation d''_t from d to d'' . Nevertheless, there is always such a jump deformation. Thus jump deformations are transitive. Similarly, if d_t is a jump deformation from d to d' , and d'_t is a smooth family of deformations of d' , then there is a smooth family d''_t of deformations of d , and a smooth function $f(t)$ with $f(0) = 0$, such that $d'_{f(t)} = d''_t$. In this case, we say that the deformation d''_t factors through the jump deformation from d to d' .

The stratification of the moduli space is obtained by considering as neighborhoods of a codifferential d those codifferentials which can be obtained as smooth deformations of d which do not factor through jump deformations. The smooth deformations which factor through a jump deformation always belong to a different stratum. Jump deformations are always a one way phenomenon. If d jumps to d' , then it never happens that d' will jump to d . In fact, if $d^\infty(t)$ is the miniversal deformation of d , parameterized by $t = (t_1, \dots, t_n)$ then for a small enough neighborhood of 0 in the t space, $d^\infty(t)$ is not equivalent to d unless $t = 0$. Thus a smooth deformation d_t of d , given by a curve arising from the miniversal deformation, cannot have $d_t \sim d$, for small nonzero values of t . This explains why jump deformations are one way.

There is an obvious way in which projective geometry enters the description of the moduli space of Lie algebras on W , because $ad \sim d$, for any nonzero a . However, in our study

of 3 and 4 dimensional complex Lie algebras [9, 10], we discovered that the orbifolds which form the strata of the moduli space have a natural structure of a projective orbifold. The projective structure which arises is not simply the consequence of the identification of codifferentials with their multiples; it is a more subtle relationship.

The goal of this paper is to illustrate the phenomena we have described with some examples, and to describe the moduli space of 3 and 4 dimensional Lie algebras. These moduli spaces were studied in detail in [9, 10, 15]. When constructing moduli spaces of Lie and L_∞ algebras, we had noticed that jump deformations were always transitive. In January 2006, at the Workshop in Oberwolfach on Deformations and Contractions in Mathematics and Physics, we learned that the physics notion of contraction is equivalent to the mathematical notion of jump deformation. That is to say, a contraction and a jump deformation are inverse notions. If a codifferential d has a jump deformation to d' , then d' contracts to the codifferential d . Using this equivalence, and a result of E. Weimar-Woods [18], we will give a simple proof of the transitivity of jump deformations. However, the transitivity of jump deformations is equivalent to the transitivity of contractions, which was pointed out in [18].

A common approach to constructing formal deformations is to use Massey products, and one can solve the problem of whether a particular infinitesimal deformation extends to a formal deformation using this approach. The advantage of studying the miniversal deformation is that it gives all of the formal deformations at once, and makes it possible to analyze the structure of the moduli space locally. The construction of the miniversal deformation which we use here first appeared in [5], and was extended to infinity algebras in [8].

3 Moduli Spaces of Complex Lie Algebras

Let us consider the moduli space of complex three dimensional Lie algebras. This space is quite simple in structure, consisting of a one-parameter family of solvable Lie algebras, and three special Lie algebras.

To describe this moduli space, let us introduce some notation. If $W = \langle w_1, \dots, w_n \rangle$ is an n -dimensional odd vector space, $1 \leq i \leq n$ and $I = (i_1, \dots, i_k)$, where $1 \leq i_1 < \dots < i_k \leq n$, then φ_i^I denotes the element of $C^k(W)$ given by

$$\varphi_i^I(w_j) = \delta_j^I w_i,$$

where $w_j = w_{j_1} \cdots w_{j_k}$. When k is odd, φ_i^I is even. Similarly, when k is even φ_i^I is odd, and to emphasize the difference between even and odd elements, we shall denote it by ψ_i^I instead. Elements in $C^2(W)$ are all odd, because we assume that W is odd. This picture corresponds to ordinary Lie algebras, because then the vector space V is even.

If $W = \langle w_1, w_2, w_3 \rangle$ is a 3-dimensional completely odd vector space, then $S^2(W) = \langle w_1 w_2, w_1 w_3, w_2 w_3 \rangle$, and $C^2(W)$ is thus 9-dimensional. In terms of this basis, an element $\varphi \in C^2(W)$, given by

$$\begin{aligned} \varphi = & a_{11} \psi_1^{12} + a_{12} \psi_1^{13} + a_{13} \psi_1^{23} \\ & + a_{21} \psi_2^{12} + a_{22} \psi_2^{13} + a_{23} \psi_2^{23} \\ & + a_{31} \psi_3^{12} + a_{32} \psi_3^{13} + a_{33} \psi_3^{23} \end{aligned}$$

is given by the 3×3 matrix $A = (a_{ij})$.

The classification of three-dimensional algebras is classical, for example, it appears in [12, 14]. In order to give the correct stratification of the moduli space, it is necessary

to realign the classical decomposition slightly. In [10], we gave the special points the names d_1, d_2 and d_3 , and the elements of the family were denoted by $d_2(\lambda : \mu)$, where $(\lambda : \mu)$ are projective coordinates. Let us identify these elements with the classical notation. There is only one nontrivial nilpotent Lie algebra (up to isomorphism), which is $\mathfrak{n}_3(\mathbb{C})$. This algebra coincides with our d_1 . There is a family $\mathfrak{r}_{3,\alpha}(\mathbb{C})$ of solvable Lie algebras, which coincides with $d_2(\lambda : \mu)$ for $\alpha = \mu/\lambda$, except when $\alpha = 1$. The Lie algebra $\mathfrak{r}_{3,1}(\mathbb{C})$ coincides with our special point d_2 , while the solvable Lie algebra $\mathfrak{r}_3(\mathbb{C})$ corresponds to our point $d_2(1 : 1)$. The Lie algebra $\mathfrak{r}_{3,0}(\mathbb{C})$ is also denoted as $\mathfrak{r}_2(\mathbb{C}) \oplus \mathbb{C}$, where $\mathfrak{r}_2(\mathbb{C})$ is the nontrivial 2-dimensional Lie algebra. Finally, the simple Lie algebra $\mathfrak{sl}_2(\mathbb{C})$ coincides with our d_3 .

The real difference between our classification and the usual one is that we interchange the elements \mathfrak{r}_3 and the elements $\mathfrak{r}_{3,1}$. This interchange arises from the necessity of aligning the elements in the moduli space into strata that are distinguished by jump deformations. The element $d_2(1 : 1)$ belongs in the strata with the family $d_2(\lambda : \mu)$, rather than the element d_2 , because there is a jump deformation from d_2 to $d_2(1 : 1)$, instead of the other way around. Both of them have smooth deformations along the family $d_2(\lambda : \mu)$, but the smooth deformations of d_2 along this family factor through the jump deformation to $d_2(1 : 1)$.

Actually, the first hint that the family might be misaligned can be seen in the behaviour of the cohomology of the Lie algebras. The dimension of $H^2(\mathfrak{r}_{3,1})$ is 3, while the dimension of $H^2(\mathfrak{r}_{3,\alpha})$ is generically equal to 1. The dimension of $H^2(\mathfrak{r}_3)$ is 1, which is appropriate for an element in the family.

Another anomaly in the cohomology occurs for $\mathfrak{r}_{3,-1}$, when the dimension of H^2 jumps to 2. In the classical picture, one considers only the elements $\mathfrak{r}_{3,\alpha}$ where $|\alpha| \leq 1$. More precisely, we find that $\mathfrak{r}_{3,\alpha} \sim \mathfrak{r}_{3,1/\alpha}$. In our notation, $d_2(\lambda : \mu) \sim d_2(\mu : \lambda)$. Since $(\lambda : \mu)$ parameterizes the Riemann sphere $\mathbb{P}^1(\mathbb{C})$, we obtain an action of the symmetric group Σ_2 on this Riemann sphere, with the equivalence classes of the codifferentials $d_2(\lambda : \mu)$ parameterized by the orbifold $\mathbb{P}^1(\mathbb{C})/\Sigma_2$. Note that we have obtained the stratum as a projective orbifold.

There are precisely two orbifold points in $\mathbb{P}^1(\mathbb{C})/\Sigma_2$, the points $(1 : 1)$ and $(1 : -1)$. (Recall that the orbifold points are the points whose stabilizer is nontrivial.) It is thus not surprising that something special should occur at the orbifold points. The codifferential $d_2(1 : 1)$ is special, because there is a jump deformation from the codifferential d_2 to it, while the codifferential $d_2(1 : -1)$ is special for the reason that it has a jump deformation to the codifferential d_3 . In fact, this jump deformation is well known to physicists, because it corresponds to a contraction of the simple Lie algebra $\mathfrak{sl}_2(\mathbb{C})$.

The nilpotent Lie algebra d_1 has jump deformations to every codifferential in the family $d_2(\lambda : \mu)$, as well as to the simple Lie algebra d_3 . In fact, the jump deformation from d_1 to d_3 is an example of a transitive jump, because it factors through the jump deformation from d_1 to $d_2(1 : -1)$. It is not surprising that the nilpotent Lie algebra should deform to the solvable Lie algebras. Nilpotent Lie algebras are the least rigid in terms of their deformations, while simple Lie algebras are completely rigid.

Consider the solvable Lie algebra d_2 , which is represented by the codifferential $d_2 = \psi_1^{13} + \psi_2^{23}$. Explicitly, in terms of the basis $\{w_1, w_2, w_3\}$, the Lie algebra structure, in standard bracket notation, is given by

$$[w_1, w_3] = w_1, \quad [w_2, w_3] = w_2,$$

with all other brackets vanishing. In [9] the miniversal deformation of d_2 was calculated. Its formula, as given in [15], is

$$d_2^\infty = \psi_1^{13}(1 + t_1) + \psi_2^{23} + \psi_2^{13}t_2 + \psi_1^{23}t_3.$$

In terms of standard bracket notation, the deformed algebra is given by the bracket rules

$$[w_1, w_3] = (1 + t_1)w_1 + t_2w_2, \quad [w_2, w_3] = t_3w_1 + w_2.$$

It is convenient to express the miniversal deformation of d_2 by the matrix $A = \begin{bmatrix} 0 & 1+t_1 & t_3 \\ 0 & t_2 & 1 \\ 0 & 0 & 0 \end{bmatrix}$.

The 3 parameters in the versal deformation arise from the fact that $H^2(d_2)$ is 3-dimensional, which means that the tangent space of the versal deformation is 3-dimensional. This is an interesting situation, because the moduli space of 3-dimensional Lie algebras consists of a 1-dimensional piece, and three 0-dimensional pieces, so it is a bit disconcerting to find that the dimension of the tangent space is larger than the dimension of the moduli space.

A partial explanation for this phenomenon is as follows. When classifying infinitesimal deformations, one considers the action of the group of infinitesimal automorphisms of W , that is, the maps $g = 1_W + t\lambda$, where $\lambda \in \text{Hom}(W, W)$. Under the action of this group, the infinitesimal deformations of the form $d_t = d + t\delta$, are classified by the cohomology $H^2(d)$. However, the automorphism group $\text{Aut}(d)$, consisting of the automorphisms g of W such that $g^*(d) = d$ acts on $H^2(d)$, and thus on the set of infinitesimal deformations, and it is isomorphism classes under this action which really classify the nonequivalent deformations of d . Thus the tangent space should really be considered as an orbifold, in terms of the action of this group.

If one considers which of the codifferentials d_2^∞ is equivalent to, one finds that

$$d_2^\infty \sim d_2(\alpha : \beta),$$

where

$$\alpha, \beta = 1 + 1/2t_1 \pm 1/2\sqrt{t_1^2 + 4t_3t_2}.$$

Thus, the deformation is equivalent to $d_2(1 : 1)$ precisely when $t_1^2 + 4t_3t_2 = 0$, and not all the parameters vanish. For example, the 1-parameter family of deformations

$$d_t = d_2^\infty(0, t, 0) = \psi_1^{13} + \psi_2^{23} + \psi_2^{13}t$$

is equivalent to $d_2(1 : 1)$ whenever $t \neq 0$. This is an example of a jump deformation. If g_t is the automorphism of W given by the matrix

$$\begin{bmatrix} 0 & 1 & 1 \\ t & 0 & 1 \\ 0 & 0 & 1 \end{bmatrix},$$

then $g_t^*(d_t) = d_2(1 : 1)$. To compute this, we first compute the matrix Q_t representing $g_t : S^2(W) \rightarrow S^2(W)$. We have

$$\begin{aligned} g_t(w_1w_2) &= tw_2w_1 = -tw_1w_2 \\ g_t(w_1w_3) &= tw_2(w_1 + w_2 + w_3) = -tw_1w_2 + tw_2w_3 \\ g_t(w_2w_3) &= w_1(w_1 + w_2 + w_3) = w_1w_2 + w_1w_3, \end{aligned}$$

so that

$$Q_t = \begin{bmatrix} -t & -t & 1 \\ 0 & 0 & 1 \\ 0 & t & 0 \end{bmatrix}.$$

If A_t represents the matrix for d_t and A' the matrix for $d_2(1 : 1)$, then

$$A_t = \begin{bmatrix} 0 & 1 & 0 \\ 0 & t & 1 \\ 0 & 0 & 0 \end{bmatrix}, \quad A' = \begin{bmatrix} 0 & 1 & 1 \\ 0 & 0 & 1 \\ 0 & 0 & 0 \end{bmatrix}.$$

In matrix form the condition $g_t^*(d_t) = d_2(1 : 1)$ is simply $G_t^{-1}A_tQ_t = A'$, which is easily verified.

Turning this process around, we obtain $(g^{-1})^*(d(1 : 1)) = d_t$. This formula represents the fact that $d(1 : 1)$ contracts to the Lie algebra d_2 . Let us recall the definition of a contraction.

Definition 3.1 Let g_t be a family of automorphisms of V , defined in a punctured neighborhood of zero. If $\lim_{t \rightarrow 0}(g_t^*(d')) \sim d$ exists and d is not equivalent to d' , then the Lie algebra given by d is said to be a contraction of the Lie algebra given by d' .

Since $\lim_{t \rightarrow 0}(d_t) = d_2$, we see that d_2 is a contraction of the Lie algebra $d(1 : 1)$. Note that the jump deformation d_t from d_2 to $d(1 : 1)$ is by no means unique. In fact, if one considers any curve $\gamma(t)$ on the surface $t_1^2 + 4t_2t_3 = 0$ satisfying $\gamma(0) = 0$, then the deformation $d_t = d_2^\infty(\gamma(t))$ represents a jump deformation from d_2 to $d(1 : 1)$, so there is a corresponding contraction of $d(1 : 1)$ to d_2 .

To determine all possible contractions of a codifferential d' by finding all automorphisms g_t such that $\lim_{t \rightarrow 0}(g_t^*(d')) = d$ exists can be a daunting task. However, what is interesting is not the method of obtaining the contraction, but simply the contracted object. The following theorem [18], due to E. Weimar-Woods, makes the task of computing the contractions much simpler in practice.

Theorem 3.2 (Weimar-Woods, 2000) *If there is a contraction from d' to d , where d and d' are Lie algebra structures on a finite dimensional space W , then there is a basis of W and an automorphism g_t of W , which has matrix $\text{diag}(t^{\lambda_1}, \dots, t^{\lambda_n})$, where the λ_i are integers, such that $g^*(d') \sim d$.*

This theorem makes it possible to determine all Lie algebras d which arise as contractions of d' , even if the classification of Lie algebra structures on W is not known. Let us illustrate this idea by considering the contractions of $d' = d(1 : 1)$. The matrix of d' is

$$\begin{bmatrix} 0 & 1 & 1 \\ 0 & 0 & 1 \\ 0 & 0 & 0 \end{bmatrix}.$$

Suppose that the matrix G of g_t is given by $G = \text{diag}(t^a, t^b, t^c)$. Then the matrix Q of $g : S^2(W) \rightarrow S^2(W)$ is $Q = \text{diag}(t^{a+b}, t^{a+c}, t^{b+c})$. Thus the matrix of $A' = G^{-1}AQ$ is

$$\begin{bmatrix} 0 & t^c & t^{b+c-a} \\ 0 & 0 & t^c \\ 0 & 0 & 0 \end{bmatrix}.$$

In order that $\lim_{t \rightarrow 0} g^*(d')$ exists, we must have $c \geq 0$ and $b + c - a \geq 0$. Clearly, if both of the inequalities are strict, this describes the uninteresting contraction to the zero codifferential. Also, if both inequalities are equalities, this does not describe a contraction, since the original codifferential is not changed. Thus we have two nontrivial contractions, to the codifferential d_1 , given by the matrix

$$\begin{bmatrix} 0 & 0 & 1 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix}$$

and to the codifferential d_2 , given by the matrix

$$\begin{bmatrix} 0 & 1 & 0 \\ 0 & 0 & 1 \\ 0 & 0 & 0 \end{bmatrix}.$$

As is illustrated by the example, given a finite dimensional Lie algebra, there are only a finite number of Lie algebras which can arise as contractions of the Lie algebra. The converse statement about jump deformations is not true. In fact, the Lie algebra d_1 has

jump deformations to every 3-dimensional Lie algebra except for d_2 . It is also said that the multiplication in a contracted Lie algebra is “more Abelian”. More precisely, one can say that the cohomology of a contracted Lie algebra is higher dimensional, and that the contracted Lie algebra has “more deformations” than the original Lie algebra. To show this, we will analyze the miniversal deformation of a Lie algebra more carefully.

Suppose that $\{\delta^1, \dots, \delta^m\}$ is a pre-basis of $H^2(d)$, in other words, we assume that δ^i are 2-cocycles whose images in $H^2(d)$ are a basis, and that $\{\gamma^1, \dots, \gamma^n\}$ is a pre-basis of the 3-coboundaries, so that the $D(\gamma^i)$ give a basis for $B^3(W) = D(C^2(W))$. Then the miniversal deformation can be given in the form

$$d^\infty = d + t_i \delta^i + x_j \gamma^j,$$

where x_j are formal power series in the parameters t_i , whose lowest order terms are of degree 2; i.e.,

$$x_i = a_i^{jk} t_j t_k + a_i^{jkl} t_j t_k t_l + \dots$$

If $\{\alpha^i\}$ is a pre-basis of $H^3(W)$ and $\{\tau^i\}$ is a prebasis of the $B^4(W)$, then

$$[d^\infty, d^\infty] = r_i \alpha^i + u_i \tau^i,$$

where the relations r_i are formal power series in the parameters t_i , whose lowest order terms are of degree 2, and the u_i are formal power series in the t_i which are contained in the ideal in $[[t_1, \dots, t_m]]$ generated by the relations. The ring $\mathcal{A} = [[t_1, \dots, t_m]]/(r_i)$ is called the base of the miniversal deformation. The existence of a miniversal deformation of this form for Lie algebras was proved in [5], and for infinity algebras in [8].

A formal 1-parameter deformation d_t of d is given by any homomorphism $f : \mathcal{A} \rightarrow k[[t]]$, such that $f(t_i)$ is a formal power series in t with nonzero constant term, and is defined by

$$d_t = d + a_i(t) \delta^i + b_i(t) \gamma^i,$$

where $a_i(t) = f(t_i)$ and $b_i(t) = f(x_i)$ are formal power series in t . If the power series $f(t_i)$ and $f(x_i)$ converge in a neighborhood of zero, then the deformation is said to be analytic. In principle, the computation of the miniversal deformation might be expected to be a fairly intractable problem.

One can proceed to compute the miniversal deformation order by order, and hope that the process terminates after a finite number of steps. In many of the examples which the authors have studied, this procedure does work. However, another idea is to write the formula for the versal deformation d^∞ as above, with unknown coefficients x_i . Then one computes that

$$[d^\infty, d^\infty] = r_i \alpha^i + s_i \beta^i + u_i \tau^i,$$

where the β^i are a basis of the 3-coboundaries. The deformation will be miniversal if $s_i = 0$ for all i . If we have chosen $\beta^i = D(\gamma_i)$, then we see that

$$s_k = 2x_k + a_k^{ij} t_i t_j + b_k^{ij} t_i x_j + c_k^{ij} x_i x_j.$$

The number of equations is exactly equal to the number of variables x_i . From the form of the equations, there is a solution near $x = t = 0$. However, these equations are quadratic in the x_i , so it is not clear that it is possible to solve them in any systematic manner. Surprisingly, for any three or four dimensional example, it turns out that there is not only a solution,

but the solution expresses the x_i as rational functions of the t_i . It is not known to us whether this property is true in general, but it is true for every example which we have constructed.

After solving for the x_i , one substitutes their values into the expressions above for the r_i , to obtain the relations as functions of the parameters t_i . The fact that the u_i are equal to zero mod the r_i follows from the construction in [5].

Now let us suppose that d'_t is an analytic deformation of d' . Then $d'_t = d' + t\varphi(t)$. Suppose that $d = \lim_{t \rightarrow 0} g^*(d')$ is a contraction of d' . Then $d_t = g_t^*(d')$ is a jump deformation of d . We do not know that $\lim_{t \rightarrow 0} g_t^*(d'_t)$ exists. However, let us suppose that g is expressed as a diagonal matrix in integer powers of t . Then, if k is a large enough odd positive integer exponent, $g^*(t^k \varphi(t^k))$ will be given by positive powers of t only, and therefore, its limit as $t \rightarrow 0$ will be zero. Thus, the deformation $\tilde{d}_t = g_t^*(d'_{t^k})$ is a well defined deformation of d .

Note that if d'_t is a jump deformation from d' to d'' , then \tilde{d}_t is a jump deformation of d to d'' , which shows the transitivity of jump deformations. Actually, the transitivity of contractions is known as well [18], and is even more obvious. When d'_t is a smooth deformation, that is, when the codifferentials d_t are not isomorphic as t varies, then \tilde{d}_t is also a smooth deformation, and $d'_{t^k} \sim \tilde{d}_t$. We say that \tilde{d}_t factors through the jump deformation d'_t . We summarize this analysis in the theorem below.

Theorem 3.3 *Suppose that d'_t is a deformation of d' and that there is a jump deformation of d to d' . Then, for a sufficiently large positive integer k , there is a deformation d_t of d such that $d_t \sim d'_{t^k}$.*

As an example, let us consider the case $d' = d_2(1 : 1)$ with deformation d'_t represented by the matrix $A = \begin{bmatrix} 0 & 1 & 1 \\ 0 & t & 1 \\ 0 & 0 & 0 \end{bmatrix}$. Let $G = \text{diag}(t^a, t^b, 1)$ represent g_t , where $b - a > 0$. Since $g_t^*(d')$ is given by the matrix $\begin{bmatrix} 0 & 1 & t^{b-a} \\ 0 & 0 & 1 \\ 0 & 0 & 0 \end{bmatrix}$, g_t determines a contraction of d' to $d = d_2$. The matrix of $g_t^*(d'_{t^k})$ is $\begin{bmatrix} 0 & 1 & t^{b-a} \\ 0 & t^{k-(b-a)} & 1 \\ 0 & 0 & 0 \end{bmatrix}$. It follows that for $k \geq b - a$, the deformation $d_t = g_t^*(d'_{t^k})$ is a well defined deformation of d_2 . Note that in this case, if we choose $b - a = 1$, then we can set $k = 1$. However, it is not clear from our argument whether one can always find an appropriate g_t so that we can set $k = 1$. The key issue is that if d'' is a deformation of d' , then it is also a deformation of d . This motivates the following definition.

Definition 3.4 *Suppose that $d_t \sim d'_t$ in some punctured neighborhood of $t = 0$, $d_0 = d$, $d'_0 = d'$ and there is a jump deformation from d to d' . Then we say that the deformation d_t factors through the jump deformation.*

For the sake of completeness, we will now give a complete description of the miniversal deformations of the three dimensional Lie algebras, and compute their contractions. If $A = (a_{ij})$ is an arbitrary matrix of a codifferential, and $G = \text{diag}(t^a, t^b, t^c)$ is a diagonal matrix of an automorphism g_t of \mathbb{C}^3 , then $A' = G^{-1}AQ$, where Q is the matrix of $g_t : S^2(W) \rightarrow S^2(W)$, has the form

$$A' = \begin{bmatrix} t^b a_{11} & t^c a_{12} & t^{b+c-a} a_{13} \\ t^a a_{21} & t^{a+c-b} a_{22} & t^c a_{23} \\ t^{a+b-c} a_{31} & t^a a_{32} & t^b a_{33} \end{bmatrix}.$$

In order to obtain a nontrivial contraction, all of the powers of t corresponding to nonzero entries in A must be nonnegative, at least one, but not all, of the powers must be zero. To obtain the matrix of the contracted codifferential, one simply lets $t = 0$ in A' .

Of course, even though two resulting matrices may be different, the codifferentials may still be equivalent, so it is necessary to check this. However, note that it is not necessary to know the complete classification of the Lie algebras in order to check whether different matrices give rise to equivalent contractions. This is one of the strengths of the contraction method, because it can be applied to determine all nonequivalent contractions of a codifferential, without a knowledge of the complete classification of the moduli space.

3.1 The Simple Lie Algebra $\mathfrak{sl}_2(\mathbb{C})$

The simple Lie algebra $\mathfrak{sl}_2(\mathbb{C})$ is represented by the codifferential $d_3 = \psi_3^{12} + \psi_2^{13} + \psi_1^{23}$, with matrix $\begin{bmatrix} 0 & 0 & 1 \\ 0 & 1 & 0 \\ 1 & 0 & 0 \end{bmatrix}$. As the cohomology of this codifferential vanishes completely, the versal deformation is simply $d_3^\infty = d_3$ which is not interesting. On the other hand, one computes immediately that the matrices $\begin{bmatrix} 0 & 0 & 0 \\ 0 & 1 & 0 \\ 1 & 0 & 0 \end{bmatrix}$, $\begin{bmatrix} 0 & 0 & 1 \\ 0 & 0 & 0 \\ 1 & 0 & 0 \end{bmatrix}$, and $\begin{bmatrix} 0 & 0 & 1 \\ 0 & 1 & 0 \\ 0 & 0 & 0 \end{bmatrix}$, all arise from contractions. These matrices arise from codifferentials which are equivalent to $d_2(1 : -1)$.

3.2 The Solvable Lie Algebra $\mathfrak{r}_{3,1}(\mathbb{C})$

This is given by the codifferential $d_2 = \psi_1^{13} + \psi_2^{23}$. We have already discussed the versal deformation of this codifferential. Note that from the form (3) of a diagonal contraction, it follows that there are no nontrivial contractions of d_2 .

3.3 The Solvable Lie Algebra $\mathfrak{r}_{3,-1}(\mathbb{C})$

This is given by the codifferential $d(1 : -1) = \psi_1^{13} + \psi_1^{23} - \psi_2^{23}$. This codifferential is unique in the family $d(\lambda : \mu)$ in that its cohomology H^2 is two dimensional, which means that its versal deformation is given by a two parameter family

$$d^\infty(1 : -1) = \psi_1^{13}(1 + t_1) + \psi_1^{23} - \psi_2^{23} + \psi_3^{12}t_2,$$

whose matrix is $\begin{bmatrix} 0 & 1+t_1 & 1 \\ 0 & 0 & -1 \\ t_2 & 0 & 0 \end{bmatrix}$. There is one relation on the base: $t_1t_2 = 0$. This means that either $t_1 = 0$ or $t_2 = 0$. In the former case, the versal deformation is equivalent to the simple Lie algebra d_3 , whenever $t_2 \neq 0$, so this gives a jump deformation. When $t_2 = 0$, the versal deformation is equivalent to the codifferential $d_2(1 + t_1 : -1)$, which means that as t changes, the deformation moves along the family $d_2(\lambda : \mu)$.

For contractions, we note that one can obtain the matrices $\begin{bmatrix} 0 & 0 & 1 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix}$, corresponding to the codifferential d_1 , and $\begin{bmatrix} 0 & 1 & 0 \\ 0 & 0 & -1 \\ 0 & 0 & 0 \end{bmatrix}$, which is equivalent to the codifferential $d_2(1 : -1)$ again. Thus, only the first matrix yields a contraction.

3.4 The Solvable Lie Algebras $\mathfrak{r}_{3,r}(\mathbb{C})$, $\mathfrak{r}_3(\mathbb{C})$, and $\mathfrak{r}_2(\mathbb{C}) \oplus \mathbb{C}$

Recall that the codifferential $d_2(\lambda : \mu)$ represents the Lie algebra $\mathfrak{r}_{3,\mu/\lambda}$ when $\lambda \neq 0$ and $\lambda \neq \mu$. When $\lambda = 0$, this represents the Lie algebra $\mathfrak{r}_2(\mathbb{C}) \oplus \mathbb{C}$, and when $\lambda = \mu$, this represents the codifferential $\mathfrak{r}_3(\mathbb{C})$. Except for the case when $\lambda = \mu$, the versal deformation is

given by $d^\infty(\lambda : \mu) = \psi_1^{13}(\lambda + t_1) + \psi_1^{23} + \psi_2^{23}\mu$, with matrix $\begin{bmatrix} 0 & \lambda+t_1 & 1 \\ 0 & 0 & \mu \\ 0 & 0 & 0 \end{bmatrix}$. It is very clear from the form of the matrix that the versal deformation is isomorphic to $d_2(\lambda + t_1 : \mu)$, so the deformations move along the same family. This is what determines the neighborhood structure of elements in the family. Note that since there are no jump deformations, these smooth deformations do not factor through a jump deformation, so it is natural to identify the neighborhoods of $d_2(\lambda : \mu)$ as being given by the family.

When $\lambda = \mu$, it turns out that the cocycle ψ_1^{13} is a coboundary, so cannot be used as in the generic case to parameterize the versal deformation. It is strange that the deformation $d_t = d(1 : 1) + \psi_1^{13}t$ varies smoothly along the family, although its leading term is a coboundary.

It is easy to see that the only nontrivial contractions of $d_2(\lambda : \mu)$, when $\lambda \neq \mu$ are to d_1 , representing the Lie algebra \mathfrak{n}_3 . However, when $\lambda = \mu$, the matrix $\begin{bmatrix} 0 & 1 & 0 \\ 0 & 0 & 1 \\ 0 & 0 & 0 \end{bmatrix}$ arises by the contraction process, and this matrix corresponds to the codifferential d_2 , which therefore is a contraction of $d_2(1 : 1)$.

3.5 The Nilpotent Lie Algebra $\mathfrak{n}_3(\mathbb{C})$

The nilpotent Lie algebra $\mathfrak{n}_3(\mathbb{C})$ is represented by the codifferential $d_1 = \psi_1^{23}$. As the dimensional of $H^2(d_1)$ is 5, it is not surprising that d_1 has a lot of deformations; in fact, it deforms to every 3-dimensional Lie algebra except d_2 . The versal deformation is given by the matrix $\begin{bmatrix} 0 & 0 & 1 \\ -t_2 & t_5 & t_3 \\ t_4 & t_2 & t_1 \end{bmatrix}$, and there are two relations on the base: $t_1t_5 - t_2t_3 = 0$ and $t_1t_2 + t_3t_4 = 0$.

We will not give explicitly formulas for all the jump deformations. To determine them, one first solves the relations explicitly. Then, for a solution of the relations, one determines what codifferential is represented by the corresponding matrix. All of this is easy to do using a computer algebra system. Note that some of the deformations are not jump deformations, but run along the family $d_2(\lambda : \mu)$. These are examples of smooth deformations which factor through a jump deformation. There are no nontrivial contractions of d_1 .

4 Real 3-Dimensional Lie Algebras

In the classification of complex 3-dimensional Lie algebras, one can proceed as follows. Either the algebra is simple, in which case it is isomorphic to $\mathfrak{sl}_2(\mathbb{C})$, which is represented by the codifferential d_3 , or it is solvable, so it is an extension of the 1-dimensional Lie algebra by a 2-dimensional one.

It turns out that one only needs to consider the case of an extension of a 1-dimensional Lie algebra by the Abelian 2-dimensional Lie algebra, because the extensions by the nontrivial 2-dimensional Lie algebra do not give any additional nonequivalent codifferentials. The matrix of the extension can be given in the form $A = \begin{bmatrix} 0 & A' \\ 0 & 0 \end{bmatrix}$, where A' is an arbitrary 2×2 matrix. Two such extensions are equivalent precisely when the matrices are similar, up to multiplication by a constant. As a consequence, we can reduce everything to the Jordan decomposition of the matrix.

In fact, the codifferential $d_2(\lambda : \mu)$ is given by the matrix $A' = \begin{bmatrix} \lambda & 1 \\ 0 & \mu \end{bmatrix}$, d_2 is given by the identity matrix, and d_1 is given by the matrix $A' = \begin{bmatrix} 0 & 1 \\ 0 & 0 \end{bmatrix}$. The interpretation of $d_2(\lambda : \mu)$ as a $\mathbb{P}^1(\mathbb{C})/\Sigma_2$ is a consequence of the fact that equivalence is given by similarity.

The same pattern can be observed in higher dimensions. For an $n + 2$ -dimensional Lie algebra, there is a stratum that is given as the orbifold $\mathbb{P}^n/\Sigma_{n+1}$, where the action of Σ_{n+1} is given by permuting the projective coordinates in \mathbb{P}^n .

For real Lie algebras, one has to make the following modifications of the theory. First, there are two nonisomorphic simple Lie algebras $\mathfrak{sl}_2(\mathbb{R})$, represented by the codifferential $d_3 = \psi_3^{12} + \psi_2^{13} + \psi_1^{23}$ and \mathfrak{su}_2 , represented by the codifferential $d'_3 = \psi_3^{12} - \psi_2^{13} + \psi_1^{23}$.

Secondly, while it is true that any extension of \mathbb{R} by a 2-dimensional real Lie algebra is equivalent to one given by an extension by an Abelian Lie algebra, so that it is determined by the similarity class of a 2×2 matrix A' , the rational canonical form determines the similarity classes of real matrices. Codifferentials of the form $d_2(\lambda : \mu)$, determined by the matrices $\begin{bmatrix} \lambda & 1 \\ 0 & \mu \end{bmatrix}$ are still nonequivalent over \mathbb{C} . These codifferentials are parameterized by $\mathbb{P}^1(\mathbb{R})/\Sigma_2$. However, there are problems which arise in using this family as part of the description of the moduli space.

It turns out to be more effective to work with the matrices from the rational canonical form, which can be expressed in the form $A_{x,y} = \begin{bmatrix} 0 & 1 \\ x & y \end{bmatrix}$. It is easy to check that the codifferentials corresponding to $A_{x,y}$ and $A_{t^2x,ty}$ are equivalent, for any t . To get a single family of codifferentials, parameterized by the action of Σ_2 on \mathbb{P}^1 , it is convenient to give the family $d(\lambda : \mu)$ as follows:

$$d(\lambda : \mu) = \begin{cases} \psi_2^{13}\lambda + \psi_1^{23}\lambda + 2\psi_2^{23}\mu & \text{if } \lambda \geq 0, \\ -\psi_2^{13}\lambda + \psi_1^{23}\lambda + 2\psi_2^{23}\mu & \text{if } \lambda < 0. \end{cases}$$

It is easy to check that $d(\lambda : \mu) \sim d(t\lambda : t\mu)$ for $t > 0$. Note that our space is not really projective, corresponding to the quotient of $\mathbb{R}^2 - \{0\}$ by \mathbb{R}_+ , rather than \mathbb{R}_* . Furthermore $d(\lambda : \mu) \sim d(\lambda : -\mu)$, so we obtain an action of Σ_2 on the parameter space, determining the codifferentials up to equivalence. There are two orbifold points in this action, $(1 : 0)$ and $(-1 : 0)$.

A justification for the seemingly artificial gluing together of two types of codifferential at the point $d(0 : 1)$ is given by studying the versal deformation of the Lie algebra, which is

$$d^\infty(0 : 1) = \psi_1^{13}t + \psi_2^{23}.$$

The versal deformation is equivalent to the codifferential $d(\lambda : \mu)$ where $\mu = 1 + t$, and $\lambda = \sqrt{-t}$ if $t < 0$, and $\lambda = -\sqrt{t}$ if $t > 0$. Thus the two pieces of $d(\lambda : \mu)$ are glued together at $d(0 : 1)$ by means of the versal deformation.

The points $d(1 : 0)$ and $d(-1 : 0)$ both correspond to the same point $d_2(1 : -1)$ in the complex case, so it not surprising that each of them has a jump deformation to a simple Lie algebra. In fact, the contractions of the 3-dimensional simple Lie algebras were computed in [1] and a complete list of the contractions is given in [14].

Let us consider the real algebra $\mathfrak{sl}_2(\mathbb{R})$, which is given by the same codifferential $d_3 = \psi_3^{12} + \psi_2^{13} + \psi_1^{23}$ as the complex algebra $\mathfrak{sl}_2(\mathbb{C})$, with matrix $\begin{bmatrix} 0 & 0 & 1 \\ 0 & 1 & 0 \\ 1 & 0 & 0 \end{bmatrix}$. We have already computed the contractions of d_3 previously, but now we need to identify the real algebras associated to them. The complex algebras are all isomorphic to $d_2(1 : -1)$, but there are two real versions of this algebra, $d(1 : 0)$ and $d(0 : 1)$. The matrix A contracts to the matrices $\begin{bmatrix} 0 & 0 & 1 \\ 0 & 1 & 0 \\ 0 & 0 & 0 \end{bmatrix}$, $\begin{bmatrix} 0 & 0 & 1 \\ 0 & 0 & 0 \\ 1 & 0 & 0 \end{bmatrix}$ and $\begin{bmatrix} 0 & 0 & 0 \\ 0 & 1 & 0 \\ 1 & 0 & 0 \end{bmatrix}$. The first matrix is just the matrix of $d(1 : 0)$. The second matrix gives a codifferential equivalent to $d(-1 : 0)$, while the third is equivalent to $d(1 : 0)$ again. Thus, there are two distinct contractions of $\mathfrak{sl}_2(\mathbb{R})$.

The real algebra \mathfrak{su}_2 is given by the codifferential $d'_3 = \psi_3^{12} - \psi_2^{13} + \psi_1^{23}$, with matrix $\begin{bmatrix} 0 & 0 & 1 \\ 0 & -1 & 0 \\ 1 & 0 & 0 \end{bmatrix}$. Its contractions are given by the matrices $\begin{bmatrix} 0 & 0 & 1 \\ 0 & -1 & 0 \\ 0 & 0 & 0 \end{bmatrix}$, $\begin{bmatrix} 0 & 0 & 1 \\ 0 & 0 & 0 \\ 1 & 0 & 0 \end{bmatrix}$ and $\begin{bmatrix} 0 & 0 & 0 \\ 0 & -1 & 0 \\ 1 & 0 & 0 \end{bmatrix}$. Clearly,

the first one is just the matrix of $d(-1 : 0)$. The other two are also equivalent to $d(-1 : 0)$. As a consequence, there is just one contraction of \mathfrak{su}_2 .

Note that $d(-1 : 1) \sim d_2(1 : 1)$. The matrix of $d(-1 : 1)$ is $\begin{bmatrix} 0 & 0 & 1 \\ 0 & -1 & 2 \\ 0 & 0 & 0 \end{bmatrix}$. Since a contraction by a diagonal matrix has the effect of setting some of the coefficients in the matrix to zero, it is impossible to obtain a matrix which is equivalent to d_2 by using a diagonal matrix to perform the contraction, with respect to this basis. In fact, if we consider the automorphism g_t , given by the matrix $G_t = \begin{bmatrix} 1/t & 0 & 0 \\ 1/t & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix}$, then $g_t^*(d(-1 : 1))$ is given by the matrix $\begin{bmatrix} 0 & 1 & t \\ 0 & 0 & 1 \\ 0 & 0 & 0 \end{bmatrix}$. Therefore, $\lim_{t \rightarrow 0} g_t^*(d(-1 : 1)) \sim d_2$. This example illustrates an important limitation of the contraction method in [18], that one has to be clever about finding a basis in which the matrix of the automorphism producing the contraction is diagonal. As far as we can see, this limitation is a serious one, because the advantage of computation of contractions by use of diagonal matrices is in the ease of computation, but if the procedure misses some of the contractions, it is inadequate to solving the problem posed in [17], that of finding a simple class of contractions which produce all possible contractions.

5 Complex 4-Dimensional Algebras

In [10], the moduli space of 4-dimensional complex Lie algebras was studied in detail, and a decomposition into strata consisting of orbifolds, connected by jump deformations was given. Miniversal deformations for the Lie algebras were computed, so all contractions of these Lie algebras can be read off from the jump deformations. We will use the basis $\{w_1w_2, w_1w_3, w_2w_3, w_1w_4, w_2w_4, w_3w_4\}$ for $S^2(W)$, where $W = \langle w_1, w_2, w_3, w_4 \rangle$ is a completely odd space of dimension 4. The moduli space of Lie algebras can be decomposed into one 2-dimensional orbifold, two 1-dimensional orbifolds, and 6 special points. The decomposition is as follows:

(1) $d_3(\lambda : \mu : \nu)$: with matrix

$$\begin{bmatrix} 0 & 0 & 0 & \lambda & 1 & 0 \\ 0 & 0 & 0 & 0 & \mu & 1 \\ 0 & 0 & 0 & 0 & 0 & \nu \\ 0 & 0 & 0 & 0 & 0 & 0 \end{bmatrix}$$

is a 2-dimensional family of codifferentials, where $(\lambda : \mu : \nu)$ are projective coordinates, and the action of the group Σ_3 , by permuting the coordinates gives equivalent codifferentials. Thus this family is parameterized by the orbifold $\mathbb{P}^1(\mathbb{C})/\Sigma_3$.

(2) $d_1(\lambda : \mu)$: given by

$$\begin{bmatrix} 0 & 0 & 1 & \mu + \lambda & 0 & 0 \\ 0 & 0 & 0 & 0 & \lambda & 1 \\ 0 & 0 & 0 & 0 & 0 & \mu \\ 0 & 0 & 0 & 0 & 0 & 0 \end{bmatrix}$$

is a 1-dimensional family of codifferentials, given by projective coordinates $(\lambda : \mu)$, with an action of $\Sigma/2$, given by permutation of coordinates. Thus this family is parameterized by $\mathbb{P}^1(\mathbb{C})/\Sigma_2$.

(3) $d_3(\lambda : \mu)$: given by the matrix

$$\begin{bmatrix} 0 & 0 & 0 & \lambda & 0 & 0 \\ 0 & 0 & 0 & 0 & \lambda & 1 \\ 0 & 0 & 0 & 0 & 0 & \mu \\ 0 & 0 & 0 & 0 & 0 & 0 \end{bmatrix}$$

is the other 1-dimensional family, given by projective coordinates $(\lambda : \mu)$. This family does not have an action of Σ_2 , so it is parameterized simply by $\mathbb{P}^1(\mathbb{C})$.

(4) d_1 : given by

$$\begin{bmatrix} 0 & 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 \end{bmatrix}$$

is the nilpotent Lie algebra $\mathfrak{n}_3(\mathbb{C}) \oplus \mathbb{C}$.

(5) d_1^\sharp : given by

$$\begin{bmatrix} 0 & 0 & 1 & 2 & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 & 0 & 1 \\ 0 & 0 & 0 & 0 & 0 & 0 \end{bmatrix}$$

is a solvable algebra.

(6) d_2^* : given by

$$\begin{bmatrix} 0 & 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 & 0 & 1 \\ 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 \end{bmatrix}$$

is the nilpotent Lie algebra $\mathfrak{n}_4(\mathbb{C})$.

(7) d_2^\sharp : given by

$$\begin{bmatrix} 1 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 1 \\ 0 & 0 & 0 & 0 & 0 & 0 \end{bmatrix}$$

is the Lie algebra $\mathfrak{t}_2(\mathbb{C}) \oplus \mathfrak{t}_2(\mathbb{C})$.

(8) d_3 : given by

$$\begin{bmatrix} 0 & 0 & 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 & 0 \\ 1 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 \end{bmatrix}$$

is the Lie algebra $\mathfrak{sl}_2(\mathbb{C}) \oplus \mathbb{C}$.

(9) d_3^* : given by

$$\begin{bmatrix} 0 & 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 & 0 & 1 \\ 0 & 0 & 0 & 0 & 0 & 0 \end{bmatrix}$$

is another solvable Lie algebra.

Complete details about the miniversal deformations of these algebras, and an explanation for the decomposition is given in [10], and we do not reproduce this information here. Our goal here is to study the contractions of the codifferentials.

6 Contractions of $\mathfrak{sl}_2(\mathbb{C}) \oplus \mathbb{C}$

The codifferential d_3 is the same codifferential as in the 3-dimensional case, but in four dimensions, it picks up additional contractions. Note that d_3 is still rigid as a 4-dimensional Lie algebra, although its cohomology does not vanish completely. The following series of jump deformations give the complete contraction picture for d_3

$$d_1 \rightsquigarrow d_2^* \rightsquigarrow d_3(1 : -1 : 0) \rightsquigarrow d_1(1 : -1) \rightsquigarrow d_3.$$

Every 3-dimensional Lie algebra determines a 4-dimensional Lie algebra in a trivial way, so we may consider the 3-dimensional Lie algebras as part of the 4-dimensional moduli space.

Note that the d_1 in the 4-dimensional list is equivalent to the d_1 in the 3-dimensional case, and $d_3(\lambda : \mu : 0)$ is equivalent to the Lie algebra given by $d_2(\lambda : \mu)$, so two of the jumps on this list are already known from the 3-dimensional picture, and they account for all of the diagonal contractions of d_3 , in terms of the usual basis. To understand the other contractions a bit better, let us analyze the contraction to $d_1(1 : -1)$. The matrix of the miniversal deformation of $d_1(1 : -1)$ is

$$\begin{bmatrix} 0 & 0 & 1 & t_2 & 0 & 0 \\ t_1 & t_1 - 1/2 t_1 t_2 & 0 & 0 & 1 + t_2 & 1 \\ 0 & -t_1 & 0 & 0 & 0 & -1 \\ 0 & 0 & 0 & t_1 t_2 & 0 & 0 \end{bmatrix}.$$

The relation on the base is $t_1 t_2 = 0$. If we set $t_2 = 0$ and $t_1 = t$ we obtain a deformation d_t given by

$$\begin{bmatrix} 0 & 0 & 1 & 0 & 0 & 0 \\ t & t & 0 & 0 & 1 & 1 \\ 0 & -t & 0 & 0 & 0 & -1 \\ 0 & 0 & 0 & 0 & 0 & 0 \end{bmatrix}.$$

If we let g_t be given by

$$G_t = \begin{bmatrix} t & 0 & 0 & -1 \\ 0 & \sqrt{t} & 0 & 0 \\ 0 & \sqrt{t} & \sqrt{t} & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix},$$

then $d_t = g_t^*(d_3)$, so d_3 contracts to $d_1(1 : -1)$ using this transformation. The square roots in the formula for G_t are not important, because we can replace \sqrt{t} with t in this matrix and obtain a contraction using d_{t^2} , which clearly has the same limit.

Now, d_3 is equivalent to the codifferential obtained by substituting $t = 1$ in d_t . It is clear that we obtain $d_1(1 : -1)$ as a contraction using the basis in which d_3 has this matrix, but it is not obvious from the original expression of d_3 that one should consider such a basis. We determined this basis from the jump deformations of $d_1(1 : -1)$, but what we would have hoped to be able to do is determine the contractions of d_3 without this knowledge.

6.1 Contractions of $\mathfrak{r}_2(\mathbb{C}) \oplus \mathfrak{r}_2(\mathbb{C})$

The following series of jump deformations gives the complete picture of the contractions of the codifferential d_2^\sharp , representing the Lie algebra $\mathfrak{r}_2(\mathbb{C}) \oplus \mathfrak{r}_2(\mathbb{C})$

$$d_1 \rightsquigarrow d_2^* \rightsquigarrow d_3(1 : 0 : 1) \rightsquigarrow d_1(1 : 0) \rightsquigarrow d_2^\sharp.$$

The algebra d_2^\sharp is the only completely rigid 4-dimensional Lie algebra, which means that its cohomology vanishes in all dimensions. Rigid Lie algebras have a complex contraction picture.

6.2 Contractions of $d_1(1 : 1)$

Here the contractions do not come from a single line of jump deformations. We have

$$\begin{aligned} d_1 &\rightsquigarrow d_2^* \rightsquigarrow d_3(1 : 1 : 2) \rightsquigarrow d_1(1 : 1), \\ d_1 &\rightsquigarrow d_1^\sharp \rightsquigarrow d_1(1 : 1). \end{aligned}$$

The first line is a special case of the generic contraction picture for codifferentials of the form $d_1(\lambda : \mu)$, but the second line represents line of contractions which only apply to this special point.

The codifferential $d_1(1 : 1)$ is analogous to the 3-dimensional codifferential $d_2(1 : 1)$, in the way it behaves in its family. As a member of the family $d_1(\lambda : \mu)$, it has no special properties with respect to deformations, but there is a element outside the family which has a jump deformation to it. It is the element $d_1(1 : -1)$ in the family $d_1(\lambda : \mu)$ which has extra deformations. Note that this behaviour parallels the situation with $d_2(\lambda : \mu)$, because the points $d_1(1 : 1)$ and $d_1(1 : -1)$ are the orbifold points in this family, and they are the ones where unusual behaviour occurs.

6.3 Contractions of $d_1(\lambda : \mu)$

Codifferentials of the form $d_1(\lambda : \mu)$ do not have many deformations, but they all arise as jump deformations from the family $d_3(\lambda : \mu : \lambda + \mu)$. With the exception of the codifferential $d_1(1 : -1)$, which has a jump deformation to d_3 , all other deformations of $d_1(\lambda : \mu)$ simply move along this family

$$d_1 \rightsquigarrow d_2^* \rightsquigarrow d_3(\lambda : \mu : \lambda + \mu) \rightsquigarrow d_1(\lambda : \mu).$$

Note that in the action of Σ_3 on \mathbb{P}^2 , the subgroup Σ_2 consisting of the permutations of the first two coordinates preserves the \mathbb{P}^1 given by $(\lambda : \mu : \lambda + \mu)$. As a consequence, $d_3(\lambda : \mu : \lambda + \mu)$ is parameterized by \mathbb{P}^1/Σ_2 , the same parameterization of $d_1(\lambda : \mu)$.

6.4 Contractions of $d_3(\lambda : \lambda : \mu)$

This special case of the codifferentials of type $d_3(\lambda : \mu : \nu)$ has a more complex contraction picture than usual for this type. Deformations that run along the family $d_3(\lambda : \mu : \nu)$. We have

$$d_1 \rightsquigarrow d_2^* \rightsquigarrow d_3(\lambda : \mu) \rightsquigarrow d_3(\lambda : \lambda : \mu).$$

These points $(\lambda : \lambda : \mu)$ are orbifold points on \mathbb{P}^2 , and the atypical contraction picture of the points of the form $d_3(\lambda : \lambda : \mu)$ resembles the behaviour of the orbifold point $d_2(1 : 1)$ in the 3-dimensional case. One does not observe anything special about the deformations of codifferentials of the type $d_3(\lambda : \lambda : \mu)$.

6.5 Contractions of $d_3(\lambda : \mu : \nu)$

Generically, there are not many contractions of $d_3(\lambda : \mu : \nu)$. We have

$$d_1 \rightsquigarrow d_2^* \rightsquigarrow d_3(\lambda : \mu : \nu).$$

Certain subfamilies of the family $d_3(\lambda : \mu : \nu)$ have extra jump deformations or extra contractions, but generically, the deformations are only along the family.

6.6 Contractions of $d_3(1 : 1)$

In general, $d_3(\lambda : \mu)$ has no contractions. However, we obtain one special case

$$d_3^* \rightsquigarrow d_3(1 : 1).$$

On the other hand $d_3(\lambda : \mu)$ has jump deformations to the family $d_3(\lambda : \mu : \nu)$ along the subfamily $d_3(\lambda : \lambda : \mu)$. Note that the action of Σ_3 on \mathbb{P}^2 identifies three copies of \mathbb{P}^1 , given by the action on $(\lambda : \lambda : \mu)$, which mutually intersect only in the point $(1 : 1 : 1)$, so that $d_3(\lambda : \lambda : \mu)$ has no net nontrivial action of Σ_3 . As a consequence, it is not surprising that the parameter space of $d_3(\lambda : \mu)$ also has no group action, and is thus simply \mathbb{P}^1 .

7 A Complicated Versal Deformation

To illustrate some of the difficulties with the approach to computing contractions using the miniversal deformations of the objects in the moduli space, we give an example of a 4-dimensional codifferential whose versal deformation is quite complicated. For the codifferential $d_1 = \psi_1^{24}$, the dimension of H^2 is 13, while the dimension of H^3 is 10. This means that there will be 10 relations on the base of the versal deformation, which will be a 13-parameter algebra.

The matrix of the versal deformation is

$$\begin{bmatrix} 0 & & t_6 & & 0 & t_4 & 1 & 0 \\ -t_{11} + t_4 t_2 & & -t_9 t_{12} + t_3 t_1 + t_3 t_2 - t_9 t_6 & & t_6 + t_{12} & t_{10} & 0 & t_3 \\ t_8 & & t_9 t_2 & & t_1 & t_{13} & 0 & t_9 \\ t_7 & & -t_9 t_5 + t_{12} t_1 + t_2 t_6 + t_2 t_{12} & & t_5 & t_{11} & t_2 & t_{12} \end{bmatrix}.$$

This complexity of this matrix is not the main source of the difficulty. What makes it much more difficult to work with is that there are relations on the base of the versal deformation. The parameters need to satisfy 10 different relations, and finding the solutions to these relations leads to a lot of complications.

When we solved these relations using Maple, we came up with 48 distinct solutions. Each solution to the relations needs to be substituted in the matrix, and then one has to consider which codifferential the solution is equivalent to. With a large number of parameters, determining the equivalence class of such a matrix is not an easy task, even for the computer. For the case of d_1 , we were able to determine all of the nonequivalent deformations,

including the jump deformations, so we can determine from this information which codifferentials contract to d_1 . However, as the reader can imagine, this example is about at the limit of complexity which can be handled by current software.

The 10 relations on the base are:

$$\begin{aligned}
 0 &= t_{13}t_1 - t_{13}t_2 - t_8t_4 + t_9t_8, \\
 0 &= -t_2t_{11} + t_2^2t_4 - t_7t_4 + t_{13}t_5 + t_{12}t_8, \\
 0 &= -t_{12}t_4 - 2t_9t_6 + t_3t_1 + t_3t_2 - t_9t_{12}, \\
 0 &= -t_1t_6 - t_5t_4 + t_{12}t_1 + t_2t_6 + t_2t_{12} - t_9t_5, \\
 0 &= -t_1t_{11} + t_1t_4t_2 - t_{13}t_5 - 2t_8t_6 - t_{12}t_8 - t_9t_7, \\
 0 &= -t_{10}t_2 + t_8t_3 + t_4t_{11} - t_4^2t_2 + t_{13}t_6 + t_{13}t_{12}, \\
 0 &= -t_{10}t_1 - t_8t_3 - t_9t_2t_4 + t_{13}t_6 + t_{11}t_9 - t_{13}t_{12}, \\
 0 &= t_1t_9t_5 - t_{12}t_1^2 - t_1t_2t_6 + t_2^2t_6 + t_2^2t_{12} - 2t_{11}t_5, \\
 &\quad + t_5t_4t_2 - 2t_7t_6 - 2t_{12}t_7, \\
 0 &= 2t_{11}t_3 - 2t_3t_4t_2 + t_4t_9t_{12} - t_4t_3t_1 + t_4t_9t_6 - 2t_{12}t_{10}, \\
 &\quad + t_9^2t_{12} - t_9t_3t_1 + t_9^2t_6, \\
 0 &= -t_9t_2t_{12} + t_2t_3t_1 + t_3t_2^2 - 2t_2t_9t_6 - t_7t_3 + t_4t_9t_5 - t_4t_{12}t_1, \\
 &\quad - t_4t_2t_6 - t_4t_2t_{12} - t_{10}t_5 + t_{11}t_6 + t_9^2t_5 - t_9t_{12}t_1.
 \end{aligned}$$

Four of the relations above contain only quadratic terms, while the rest contain some cubic terms as well. Because there are no higher order terms, the versal deformation could have been computed by computing the deformation order by order up to the third order. Many of our examples had relations which were rational in the parameters, and in those cases, one could not calculate the versal deformation order by order.

8 Conclusions

Although jump deformations and contractions are inverse concepts, the approaches to their computation are quite different. Each of these approaches has some advantages and disadvantages.

The method of computation of jump deformations by computing miniversal deformations is guaranteed to determine every object which contracts to the object for which the miniversal deformation is calculated. In this sense, the computation of miniversal deformations contains all of the deformation information, including all information about contractions. However, it is not so easy to compute the miniversal deformation except for algebras of low dimension. To determine all the jump deformations which are contained in the miniversal deformation is not easy either, mainly because it is not very simple to determine when a family of deformations are equivalent to each other. The difficulties that arise in using the miniversal deformation approach are mainly due to computational complexity.

There is no satisfactory general method of computing all contractions directly. Contractions using diagonal matrices are simple to compute, and allows one to determine some of the contractions easily. However, as we have seen in this paper, there are cases where a diagonal matrix is not sufficient to compute all of the contractions, given a specific choice of

basis of the underlying space, and it is not clear how to choose a basis that will yield all of them by this method. On the other hand, while a Lie algebra may have jump deformations to an infinite number of nonequivalent algebras, a finite dimensional Lie algebra has only a finite number of contractions. Therefore, by experimenting with different bases, one may reasonably expect to find them all. Many different approaches, besides the use of diagonal matrices have been tried, and they have led to very successful results. The main difficulty with the direct approach to computing contractions is that there is no general method of determining them all.

The point of view is an important issue as well. For example, if one is interested in computing all the contractions of the Poincaré algebra, then miniversal deformations are not the right approach, because you don't know which algebras to compute the miniversal deformations of. There is no classification of 10 dimensional complex Lie algebras, so it is impossible to proceed in this manner. On the other hand, if one is interested in computing the deformations of the Galilean algebra, in particular, to show that it deforms into the Poincaré algebra, as was done in [11], a computation of the versal deformation is very useful. In fact, in the article in [11], the versal deformation was not quite computed, but many deformations of the Galilean algebra were computed using a partial computation of this versal deformation.

Given the complexity of finding both deformations and contractions, we imagine that both methods will continue to be valuable in computations. In higher dimensions, there is no classification of the Lie algebras, so any special method of finding deformations or contractions of particular Lie algebras may be illuminating. It is possible that in the future, a simple general method of computing all contractions of a Lie algebra may be discovered. Advances in computer hardware will make the computation of versal deformations easier in the future as well.

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